



## Risk and Audit Committee Meeting Agenda

---

All times are approximate and for reference only. The Committee may consider an item at an earlier or later time than set forth herein. The Committee may take action on any items that are designated for information. Public comment may be taken on agenda items in accordance with applicable law. There is a three-minute limitation on public comment, unless otherwise directed by the Presiding Officer. (Cal. Code Regs., tit 2, § 552.1.) Members of the public may provide public comment via telephone by calling (800) 259-4105. Board members may attend meetings of committees of which they are not members and participate in the discussions during those meetings. In accordance with California Government Code section 11133 (added by Stats. 2021, ch. 165 § 2) and Executive Order N-1-22, Board members may participate via videoconference.

---

### **CalPERS Auditorium**

Lincoln Plaza North  
400 P Street  
Sacramento, CA

### **February 2023**

TBD

Or upon adjournment of the (Committee) Committee – Whichever is later

### **Committee Members**

Lisa Middleton, Chair

Jose Luis Pacheco

Mullissa Willette

David Miller, Vice Chair

Ramon Rubalcava

Betty Yee

Fiona Ma

### **Open Session**

TBD

Or upon adjournment of the (Committee) Committee – Whichever is later

### **Item**

1. Call to Order and Roll Call
2. Election of the Risk and Audit Committee Chair and Vice Chair
3. Executive Report – Kevin L. Fein
4. **Action Consent Items** – Kevin L. Fein
  - a. Approval of the February 2023 Risk and Audit Committee Timed Agenda
  - b. Approval of the November 15, 2022 Risk and Audit Committee Meeting Minutes

- c. Review of the Risk and Audit Committee Delegation
- 5. **Information Consent Items** – Kevin L. Fein
  - a. Annual Calendar Review
  - b. Draft Agenda for the June 2023 Risk and Audit Committee Meeting
  - c. Quarterly Status Report – Office of Audit Services
  - d. Status Report – Enterprise Risk Management
  - e. Status Report – Enterprise Compliance Activity
- 6. **Information Agenda Items**
  - a. 2022-23 Enterprise Compliance and Risk Management Mid-Year Plan Update – Kevin L. Fein
  - b. Summary of Committee Direction – Kevin L. Fein
  - c. Public Comment

### **Closed Session**

Upon Adjournment or Recess of Open Session (Government Code sections 11126(a)(1) and (g)(1))

#### **Item**

- 1. Call to Order and Roll Call
- 2. **Action Consent Items** – Kevin L. Fein
  - a. Approval of the November 15, 2022 Risk and Audit Committee Closed Session Meeting Minutes
- 3. **Information Agenda Items**
  - a. Personnel, Employment and Performance, Assistant Chief Counsel – Kevin L. Fein
  - b. Personnel, Employment and Performance, Chief Auditor – Beliz Chappuie